

04/30/01  
U.S. PTO

05-01-0

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Approved for use through 10/31/2002. OMB 0651-0032  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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## UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

### APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1.  Fee Transmittal Form (e.g., PTO/SB/17)  
*(Submit an original and a duplicate for fee processing)*
2.  Applicant claims small entity status.  
See 37 CFR 1.27.
3.  Specification [Total Pages 52]  
*(preferred arrangement set forth below)*
  - Descriptive title of the invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings *(if filed)*
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4.  Drawing(s) (35 U.S.C. 113) [ Total Sheets 22 ]
5. Oath or Declaration [ Total Pages 74 ]
  - a.  Newly executed (original or copy)
  - b.  Copy from a prior application (37 CFR 1.63 (d))  
*(for continuation/divisional with Box 18 completed)*
    - i.  **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b)
6.  Application Data Sheet. See 37 CFR 1.76

|                        |   |
|------------------------|---|
| Attorney Docket No.    | ITC 2-001   |
| First Inventor         | David P. Vellante                                 |
| Title                  | Method and System for Assessing and Quantify..... |
| Express Mail Label No. | EL 759346289 US                                   |

10/30/01  
U.S. PTO  
09/845533

**ADDRESS TO:** Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

7.  CD-ROM or CD-R in duplicate, large table or Computer Program *(Appendix)*
8. Nucleotide and/or Amino Acid Sequence Submission *(if applicable, all necessary)*
  - a.  Computer Readable Form (CRF)
  - b. Specification Sequence Listing on:
    - i.  CD-ROM or CD-R (2 copies); or
    - ii.  paper
  - c.  Statements verifying identity of above copies

### ACCOMPANYING APPLICATION PARTS

9.  Assignment Papers (cover sheet & document(s))
10.  37 CFR 3.73(b) Statement  Power of Attorney *(when there is an assignee)*
11.  English Translation Document *(if applicable)*
12.  Information Disclosure Statement (IDS)/PTO-1449  Copies of IDS Citations
13.  Preliminary Amendment
14.  Return Receipt Postcard (MPEP 503) *(Should be specifically itemized)*
15.  Certified Copy of Priority Document(s) *(if foreign priority is claimed)*
16.  Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17.  Other: .....

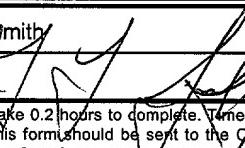
18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

Continuation     Divisional     Continuation-in-part (CIP)    of prior application No.: \_\_\_\_\_ / \_\_\_\_\_

Prior application Information: Examiner \_\_\_\_\_ Group Art Unit: \_\_\_\_\_

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

### 19. CORRESPONDENCE ADDRESS

|   |   |           |              |  |
|---|---|-----------|--------------|--|
| <input checked="" type="checkbox"/> Customer Number or Bar Code Label | 00266<br><i>(Insert Customer No. or Attach bar code label here)</i>                 |           | or           | <input checked="" type="checkbox"/> Correspondence address below |
| Name  | Gerald L. Smith   |           |              |  |
| Address   | 7700 Rivers Edge Drive  |           |              |  |
| City  | Columbus  | State     | OH           | Zip Code   |
| Country   | US  | Telephone | 614 436-0600 | Fax  |
| Name (Print/Type)   | Gerald L. Smith   |           |              | Registration No. (Attorney/Agent) 22,009                         |
| Signature   |  |           |              | Date April 30, 2001  |

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# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$736.00)

## Complete if Known

|                      |                   |
|----------------------|-------------------|
| Application Number   |                   |
| Filing Date          | Herewith          |
| First Named Inventor | David P. Vellante |
| Examiner Name        |                   |
| Group Art Unit       |                   |
| Attorney Docket No.  | ITC 2-001         |

## METHOD OF PAYMENT

1.  The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number 13-4830  
Deposit Account Name Mueller & Smith, LPA

Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

Applicant claims small entity status. See 37 CFR 1.27

2.  Payment Enclosed:

Check  Credit card  Money Order  Other

## FEE CALCULATION

## 1. BASIC FILING FEE

| Large Entity        | Small Entity  | Fee Description               | Fee Paid |
|---------------------|---------------|-------------------------------|----------|
| Fee Code (\$)       | Fee Code (\$) | Fee                           | Fee      |
| 101                 | 710           | 201 355 Utility filing fee    | 355.00   |
| 106                 | 320           | 206 160 Design filing fee     |          |
| 107                 | 490           | 207 245 Plant filing fee      |          |
| 108                 | 710           | 208 355 Reissue filing fee    |          |
| 114                 | 150           | 214 75 Provisional filing fee |          |
| <b>SUBTOTAL (1)</b> |               | <b>(\$)</b> 355.00            |          |

## 2. EXTRA CLAIM FEES

| Total Claims         | Extra Claims | Fee from below | Fee Paid |
|----------------------|--------------|----------------|----------|
| 49                   | -20** = 29   | x 9.00 =       | 261.00   |
| Independent Claims 5 | - 3** = 2    | x 40.00 =      | 80.00    |
| Multiple Dependent   |              | =              |          |

| Large Entity        | Small Entity  | Fee Description  |
|---------------------|---------------|--|
| Fee Code (\$)       | Fee Code (\$) | Fee  |
| 103                 | 18            | 203 9 Claims in excess of 20                                     |
| 102                 | 80            | 202 40 Independent claims in excess of 3                         |
| 104                 | 270           | 204 135 Multiple dependent claim, if not paid                    |
| 109                 | 80            | 209 40 ** Reissue independent claims over original patent        |
| 110                 | 18            | 210 9 ** Reissue claims in excess of 20 and over original patent |
| <b>SUBTOTAL (2)</b> |               | <b>(\$)</b> 341.00   |

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

## 3. ADDITIONAL FEES

| Large Entity              | Small Entity  | Fee Description   | Fee Paid |
|---------------------------|---------------|---|----------|
| Fee Code (\$)             | Fee Code (\$) | Fee   | Fee      |
| 105                       | 130           | 205 65 Surcharge - late filing fee or oath  |          |
| 127                       | 50            | 227 25 Surcharge - late provisional filing fee or cover sheet                     |          |
| 139                       | 130           | 139 130 Non-English specification   |          |
| 147                       | 2,520         | 147 2,520 For filing a request for ex parte reexamination                         |          |
| 112                       | 920*          | 112 920* Requesting publication of SIR prior to Examiner action                   |          |
| 113                       | 1,840*        | 113 1,840* Requesting publication of SIR after Examiner action                    |          |
| 115                       | 110           | 215 55 Extension for reply within first month                                     |          |
| 116                       | 390           | 216 195 Extension for reply within second month                                   |          |
| 117                       | 890           | 217 445 Extension for reply within third month                                    |          |
| 118                       | 1,390         | 218 695 Extension for reply within fourth month                                   |          |
| 128                       | 1,890         | 228 945 Extension for reply within fifth month                                    |          |
| 119                       | 310           | 219 155 Notice of Appeal  |          |
| 120                       | 310           | 220 155 Filing a brief in support of an appeal                                    |          |
| 121                       | 270           | 221 135 Request for oral hearing  |          |
| 138                       | 1,510         | 138 1,510 Petition to institute a public use proceeding                           |          |
| 140                       | 110           | 240 55 Petition to revive - unavoidable   |          |
| 141                       | 1,240         | 241 620 Petition to revive - unintentional  |          |
| 142                       | 1,240         | 242 620 Utility issue fee (or reissue)  |          |
| 143                       | 440           | 243 220 Design issue fee  |          |
| 144                       | 600           | 244 300 Plant issue fee   |          |
| 122                       | 130           | 122 130 Petitions to the Commissioner   |          |
| 123                       | 130           | 123 130 Petitions related to provisional applications                             |          |
| 126                       | 180           | 126 180 Submission of Information Disclosure Stmt                                 |          |
| 581                       | 40            | 581 40 Recording each patent assignment per property (times number of properties) | 40.00    |
| 146                       | 710           | 246 355 Filing a submission after final rejection (37 CFR § 1.129(a))             |          |
| 149                       | 710           | 249 355 For each additional invention to be examined (37 CFR § 1.129(b))          |          |
| 179                       | 710           | 279 355 Request for Continued Examination (RCE)                                   |          |
| 169                       | 900           | 169 900 Request for expedited examination of a design application                 |          |
| Other fee (specify) _____ |               |   |          |

\*Reduced by Basic Filing Fee Paid

**SUBTOTAL (3)** **(\$)** 40.00

## SUBMITTED BY

|                   |                 |                                      |        |                          |
|-------------------|-----------------|--------------------------------------|--------|--------------------------|
| Name (Print/Type) | Gerald L. Smith | Registration No.<br>(Attorney/Agent) | 22,009 | Complete (if applicable) |
| Signature         |                 |                                      | Date   | April 30, 2001           |

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Attorney Docket : ITC 2-001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

David P. Vellante

Filed: Herewith

For: "Method for System for Assessing and Quantifying the Business Value of an Information Technology (IT) Application or Set of Applications"

COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

CERTIFICATE OF MAILING BY EXPRESS MAIL

"Express Mail" mailing label number EL 759346289 US

Date of Deposit: April 30, 2001

I hereby certify that this patent application with drawings, assignment with recordation forms, declaration/power of attorney, information data sheet and check in the amount of \$736.00 is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above, addressed to: Box Patent Application, Commissioner for Patents, Washington, D.C. 20231.



Jane Keeney

Encs.